

Application number

1078164

Registration number

TMA607957

Type(s)

Word

Category

Trademark

CIPO Status

REGISTERED

TM5 status

LIVE/REGISTRATION/Issued
and Active



The trademark application has
been registered with the Office.

Filed

2000-10-11

Registered

2004-04-19

Registration Expiry Date

2034-04-19

Current owner

Morgan Stanley Domestic
Holdings, Inc.
1585 Broadway
New York, NY 10036
UNITED STATES OF
AMERICA

Agent

GOWLING WLG (CANADA)
LLP
1 PLACE VILLE MARIE
37TH FLOOR/37E ETAGE
MONTREAL
QUÉBEC H3B3P4

Registered Owner

Disclaimer text

The right to the exclusive use of the word SMITH is disclaimed apart from the trademark.

Index headings

SMITH BARNEY

Services (Nice class & Statement)

Classification data (1) Financial and investment services, namely asset management; trading in securities, options, commodities, corporate debt and equity, government and municipal securities, and futures and forwards contracts, brokerage and financial advisory services; underwriting and distribution of securities; providing assistance in mergers and acquisitions; customer financing and securities lending activities; investment management and advisory services; securities research; structuring derivative financial instruments; and providing fixed and variable annuities.

Disclaimer

The classification data is provided for information and searching purposes only. CIPO does not warrant the accuracy of the classes assigned to the trademark. This data has no legal value of any kind.

Claims 35 - Advertising, marketing, promotional and business
36 - Insurance and financial
42 - Computer and scientific

Used in UNITED STATES OF AMERICA

Registered in or for UNITED STATES OF AMERICA on July 16, 1996, under No. 1,986,596

Recordals (known also as Footnotes)

CHANGE IN TITLE / CHANGEMENT EN TITRE:

TYPE OF CHANGE / GENRE DE CHANGEMENT: Name / Nom

DATE REGISTERED / DATE DE L'ENREGISTREMENT: 2008-10-09

DATE OF CHANGE / DATE DE CHANGEMENT: 2003-04-07

COMMENTS / COMMENTAIRES: FROM: SALOMON SMITH BARNEY, INC., a New York corporation,

TO: Citigroup Global Markets Inc.

(a New York corporation)

Voir Preuve au dossier/See evidence on File No. 609742

CHANGE IN TITLE / CHANGEMENT EN TITRE:

TYPE OF CHANGE / GENRE DE CHANGEMENT: Assignment / Cession

DATE REGISTERED / DATE DE L'ENREGISTREMENT: 2009-10-20

DATE OF CHANGE / DATE DE CHANGEMENT: 2009-05-31

COMMENTS / COMMENTAIRES: FROM: Citigroup Global Markets Inc.

(a New York corporation)

TO: Morgan Stanley Smith Barney Holdings LLC

a Delaware limited liability company

Voir Preuve au dossier/See evidence on File No. 1078164

Merger and Address / Fusionnement et adresse

Citigroup Global Markets Inc.
(a New York corporation)
388 Greenwich Street,
New York, New York, 10013,
UNITED STATES OF
AMERICA

Applicant

Citigroup Global Markets Inc.
(a New York corporation)
388 Greenwich Street,
New York, New York, 10013,
UNITED STATES OF
AMERICA

Old Owner

Morgan Stanley Smith Barney
Holdings LLC a Delaware
limited liability company
2000 Westchester Avenue
Purchase, New York, 10577
UNITED STATES OF
AMERICA

Documents

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DATE REGISTERED / DATE DE L'ENREGISTREMENT: 2019-01-22
DATE OF CHANGE / DATE DE CHANGEMENT: 2016-12-31
COMMENTS / COMMENTAIRES: FROM: Morgan Stanley Smith Barney
Holdings LLC
a Delaware limited liability company
TO: Morgan Stanley Domestic Holdings, Inc.
Voir Preuve au dossier/See evidence on File No. 554347

Action History

Action	Action date	Due date	Comments
Filed	2000-10-11		
Created	2000-10-17		
Formalized	2000-11-03		
Search Recorded	2002-03-07		
Examiner's First Report	2002-03-07	2002-07-07	
Approved	2002-06-25		
Advertised	2002-07-31		Vol.49 Issue 2492
Allowed	2002-10-18		
Allowance Notice Sent	2002-10-18	2003-10-11	
Extension of Time	2003-11-05	2004-04-11	Request Letter Date: 2003 /10/10
Registered	2004-04-19	2019-04-19	
Amendment to Registration	2008-10-09		Name / Voir Preuve au dossier/See evidence on File No. 609742
Rep for Service Changed	2009-10-20		From: 12 To: 5153 / Voir Preuve au dossier/See evidence on File No. 1078164
Change of Title Registered	2009-10-20		Assignment / Voir Preuve au dossier/See evidence

			on File No. 1078164
Rep for Service Name Changed	2011-05-31		
Rep for Service Name Changed	2012-01-04		
Rep for Service Name Changed	2013-05-29		
Rep for Service Name Changed	2013-05-29		
Rep for Service Name Changed	2013-06-03		
Rep for Service Name Changed	2013-06-03		
Rep for Service Name Changed	2013-06-27		
Nice Classification Courtesy Letter Sent	2018-09-06		
Rep for Service Changed	2019-01-22		From: 5153 To: 5727 / Voir Preuve au dossier /See evidence on File No. 554347
Amendment to Registration	2019-01-22		Merger and address / Voir Preuve au dossier/See evidence on File No. 554347
Renewed	2019-04-19	2034-04-19	DP:2019/03/25 RD:2019 /03/25 RR:Gowling WLG (Canada) LLP Gowling WLG (Canada) LLP 160 Elgin Street Ottawa, Ontario K1P1C3 Canada