

NB — 1598359

**Application number**

1598359

**Registration number**

TMA927075

**Type(s)**

Word

**Category**

Trademark

**CIPO Status**

REGISTERED

**TM5 status**

LIVE/REGISTRATION/Issued  
and Active



The trademark application has  
been registered with the Office.

**Filed**

2012-10-16

**Registered**

2016-01-26

**Registration Expiry Date**

2031-01-26

**Registered Owner**

Neuberger Berman Group LLC  
605 Third Avenue  
New York, New York 10158  
UNITED STATES OF  
AMERICA

**Agent**

MCMILLAN LLP  
BROOKFIELD PLACE, SUITE  
4400  
BAY WELLINGTON TOWER  
181 BAY STREET  
TORONTO  
ONTARIO M5J2T3

**Documents**

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**Index headings**

NB

**Services (Nice class & Statement)**

**Classification data** (1) Financial services, namely, investment advisory, investment management, asset management, portfolio management, investment brokerage, securities brokerage,

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The classification data is provided for information and searching purposes only. CIPO does not warrant the accuracy of the classes assigned to the trademark. This data has no legal value of any kind.

**Claims**

Declaration of Use filed January 15, 2016

investment management, asset management, portfolio management, investment brokerage, securities brokerage, investment consultation, wealth management, and financial planning services; financial and investment services, namely, investment advisory and investment management and brokerage of securities, hedge funds, private equity funds, wrap fee programs, separate accounts, variable annuity programs, stocks, bonds, commodities, derivatives and debt investment, and limited partnerships; financial services, namely, financial research, financial analysis, consultation, record keeping and information services, transfer agent services, custodian services, management and investment of funds and assets of others, capital investment services, and financial transaction services; financial services, namely, mutual fund advisory and investment management services, mutual fund distribution services, mutual fund underwriting and administration services, and variable annuities investment services; clearance services, namely, clearing and settling financial transactions on behalf of others; maintaining assets and securities for others, and confirming and tracking financial transactions for others; insurance investment services; trust and estate planning and management services; retirement planning services; real estate investment trust advisory and management services; providing a web site featuring financial information and financial advice.

35 - Advertising, marketing, promotional and business  
36 - Insurance and financial

## Action History

Action	Action date	Due date	Comments
Filed	2012-10-16		
Created	2012-10-16		
Formalized	2012-10-18		
Search Recorded	2013-05-24		
Examiner's First Report	2013-05-24	2013-11-24	
Extension of Time	2013-10-10	2014-05-24	Request Letter Date: 2013 /10/07
Extension of Time	2014-05-28	2014-11-24	Request Letter Date: 2014 /05/20
Approval Notice Sent	2015-01-20	2015-02-17	
Approved	2015-03-12		APPROVED BY PROGRAM EX200M1
Advertised	2015-04-01		Vol.62 Issue 3153
Allowed	2015-07-17		
Allowance Notice Sent	2015-07-17	2016-01-17	
Registered	2016-01-26	2031-01-26	