

Application number

1823004

Registration number

TMA1019674

Type(s)

Word

Category

Trademark

CIPO Status

REGISTERED

TM5 status

LIVE/REGISTRATION/Issued
and Active



The trademark application has
been registered with the Office.

Filed

2017-02-15

Registered

2019-04-18

Registration Expiry Date

2034-04-18

Registered Owner

The Depository Trust &
Clearing Corporation
570 Washington Blvd.
Jersey City, NJ 07310
UNITED STATES OF
AMERICA

Agent

WENDY D. RIEL
FOGLER, RUBINOFF LLP
Scotia Plaza, 40 King Street
West, Suite 2400, P.O. Box
215
Toronto
ONTARIO M5H3Y2

Documents

Index headings

DTCC

Goods (Nice class & Statement)

(1) Computer software used for financial transactions in the fields of securities trade processing and trade management; Downloadable electronic publications, namely, articles, whitepapers, reports, presentations, and educational materials in the field of institutional trade processing, post-trade financial transaction processing, financial clearing services settlement and asset services, wealth management services, collateral management, derivatives services, and data analytics services.
(2) Computer software used for financial transactions in the fields of securities trade processing and trade management; Downloadable electronic publications, namely, articles, whitepapers, reports, presentations, and educational materials in the field of institutional trade processing, post-trade financial transaction processing, financial clearing services settlement and asset services, wealth management services, collateral management, derivatives services, and data analytics services.

Services (Nice class & Statement)

Classification data

Disclaimer

The classification data is provided for information and searching purposes only. CIPO does not warrant the accuracy of the classes assigned to the trademark. This data has no legal value of any kind.

Claims

Used in CANADA since at least as early as November 2011 on

(1) Business information services in the nature of issuance, collection, storage, maintenance, management and dissemination of information relating to businesses and legal entities; compilation and systemization of business and legal entity information into computer databases; Business information services in the nature of collection, storage maintenance, management and dissemination of information relating to financial transactions; Clearing reconciling and processing financial transactions via a global computer network; Financial transaction processing services, namely, processing and management of post trade activity, namely clearance and settlement of financial transactions; Financial clearing houses; Financial transaction services, namely, national clearinghouse services for the settlement of trades in securities and other financial products; Financial custody services, namely, maintaining possession and record of securities and other financial instruments for others; Financial information services, namely, providing a website featuring identifiers and financial information for legal entities that engage in financial transactions; Providing financial information via a website to underwriting entities in the field of financial instruments; Financial information processing in the nature of assessing eligibility of securities for underwriting, settlement, and deposit; Tax services, namely, tax withholding services and tax reporting services; Financial services, namely, processing and information services and settlement of commissions payments and invoices in the wealth management field, namely, regarding mutual funds,

goods (2) and alternative investments, and insurance and retirement on services (2)funds and retirement accounts; Financial information Priority Filing services to automate, standardize, and centralize mutual Date: October fund, alternative investments, and insurance and 07, 2016, retirement funds and retirement accounts; Financial Country or processing services for secure information exchange in Office: the field of wealth management, namely, regarding mutual UNITED funds, alternative investments, and insurance and STATES OF retirement funds and retirement accounts; Financial AMERICA, information services, namely, the creation and Application dissemination of databases, aggregates, reports, No. 87/195, benchmarks, data analytics in the fields of securities trade 903 in processing and trade management; Electronic association transmission for others of securities trading instruction with the same data via computer networks, the internet and direct lease-kind of goods line connections; Arranging and conducting live and on-and in line seminars and conferences in the fields of institutional association trade processing, post-trade financial transaction with the same processing, financial clearing services settlement and kind of asset services, wealth management services, collateral services management, derivatives services, and data analytics Used in services; Development and dissemination of on-line UNITED educational materials in the fields of institutional trade STATES OF processing, post-trade financial transaction processing, AMERICA on financial clearing services settlement and asset services, goods (1) and wealth management services, collateral management, on services (1)derivatives services, and data analytics services; Registered in Providing online and non-downloadable electronic or for publications, namely, articles, whitepapers, reports, UNITED presentations, and educational materials in the fields of STATES OF institutional trade processing, post-trade financial AMERICA on transaction processing, financial clearing services October 17, settlement and asset services, wealth management 2017, under services, collateral management, derivatives services, and No. 5309424 data analytics services; Providing online, non-on goods (1) downloadable software used to facilitate the processing and on and management of post trade activity, namely, clearance and services (1) and settlement of financial transactions; Providing online, non-downloadable software and data feeds in the field of finance used to facilitate access to and use of data, records and analytics related to clearance and settlement of financial transactions; Developing customized web pages and other data feed formats featuring user-defined information regarding clearance and settlement of financial transactions; Providing online, non-downloadable software used to facilitate the management of securities.

Recordals (known also as Footnotes)

Owner Address Change / Changement d'adresse du propriétaire

DATE REGISTERED / DATE DE L'ENREGISTREMENT:
2023-05-29

(2) Business information services in the nature of issuance, collection, storage, maintenance, management and dissemination of information relating to businesses and legal entities; compilation and systemization of business and legal entity information into computer databases; Business information services in the nature of collection, storage maintenance, management and dissemination of information relating to financial transactions; Clearing reconciling and processing financial transactions via a global computer network; Financial transaction processing services, namely, processing and

management of post trade activity, namely clearance and settlement of financial transactions; Financial clearing houses; Financial transaction services, namely, national clearinghouse services for the settlement of trades in securities and other financial products; Financial custody services, namely, maintaining possession and record of securities and other financial instruments for others; Financial information services, namely, providing a website featuring information for use in connection with underwriting, corporate actions processing, securities processing, tax services, and issuer services; Financial information services, namely, providing a website featuring identifiers and financial information for legal entities that engage in financial transactions; Providing financial information via a website to underwriting entities in the field of financial instruments; Financial information processing in the nature of assessing eligibility of securities for underwriting, settlement, and deposit; Tax services, namely, tax relief services, tax withholding services and tax reporting services; Trade repository services, namely, the collection, storage, maintenance, management, recordkeeping and reporting of information relating to derivatives transactions; Financial services, namely, processing and information services and settlement of commissions payments and invoices in the wealth management field, namely, regarding mutual funds, alternative investments, and insurance and retirement funds and retirement accounts; Financial information services to automate, standardize, and centralize mutual fund, alternative investments, and insurance and retirement funds and retirement accounts; Financial processing services for secure information exchange in the field of wealth management, namely, regarding mutual funds, alternative investments, and insurance and retirement funds and retirement accounts; Financial information services, namely, the creation and dissemination of databases, aggregates, reports, benchmarks, data analytics in the fields of securities trade processing and trade management; Electronic transmission for others of securities trading instruction data via computer networks, the internet and direct lease-line connections; Arranging and conducting live and on-line seminars and conferences in the fields of institutional trade processing, post-trade financial transaction processing, financial clearing services settlement and asset services, wealth management services, collateral management, derivatives services, and data analytics services; Development and dissemination of on-line educational materials in the fields of institutional trade processing, post-trade financial transaction processing, financial clearing services settlement and asset services, wealth management services, collateral management, derivatives services, and data analytics services; Providing online and non-downloadable electronic publications, namely, articles, whitepapers, reports, press releases, presentations, and educational materials in the fields of institutional trade processing, post-trade financial transaction processing, financial clearing services settlement and asset services, wealth management

services, collateral management, derivatives services, and data analytics services; Providing online, non-downloadable software used to facilitate the processing and management of post trade activity, namely, clearance and settlement of financial transactions; Providing online, non-downloadable software and data feeds in the field of finance used to facilitate access to and use of data, reports and analytics related to clearance and settlement of financial transactions; Developing customized web pages and other data feed formats featuring user-defined information regarding clearance and settlement of financial transactions; Providing online, non-downloadable software used to facilitate the management of securities.

9 - Electrical, scientific and teaching apparatus and software

16 - Paper and printed goods

35 - Advertising, marketing, promotional and business

36 - Insurance and financial

38 - Telecommunications

41 - Education and entertainment

42 - Computer and scientific

Action History

Action	Action date	Due date	Comments
Filed	2017-02-15		
Created	2017-02-16		
Formalized	2017-02-20		
Search Recorded	2018-01-12		
Examiner's First Report	2018-01-12	2018-07-12	
Approval Notice Sent	2018-10-12	2018-11-09	
Note to file	2018-11-27		Amended as per October 25, 2018
Approved	2018-12-10		
Advertised	2018-12-26		Vol.65 Issue 3348
Allowed	2019-04-12		
Allowance Notice Sent	2019-04-12	2019-10-12	
Registered	2019-04-18	2034-04-18	
Agent Changed	2019-09-30		From: 16863 To: 6208 / Voir Preuve au dossier /See evidence on File No. 1823004
Agent Changed	2021-11-05		From: 6208 To: 11789 / Voir Preuve au dossier /See evidence on File No. 1823004
Amendment to Registration	2023-05-29		Address/address