

Application number

1823008

Registration number

TMA1019667

Type(s)

Design

Category

Trademark

CIPO Status

REGISTERED

TM5 status

LIVE/REGISTRATION/Issued
and Active



The trademark application has
been registered with the Office.

Filed

2017-02-15

Registered

2019-04-18

Registration Expiry Date

2034-04-18

Registered Owner

The Depository Trust &
Clearing Corporation
570 Washington Blvd.
Jersey City, NJ 07310
UNITED STATES OF
AMERICA

Agent

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Documents

Index headings

DTCC

Goods (Nice class & Statement)

(1) Computer software used for financial transactions in the fields of securities trade processing and trade management; Downloadable electronic publications, namely, articles, whitepapers, reports, presentations, and educational materials in the field of institutional trade processing, post-trade financial transaction processing, financial clearing services settlement and asset services, wealth management services, collateral management, derivatives services and data analytics services.

(2) Computer software used for financial transactions in the fields of securities trade processing and trade

Services (Nice class & Statement)

management; Downloadable electronic publications, namely, articles, whitepapers, press releases, reports, presentations, and educational materials in the field of institutional trade processing, post-trade financial transaction processing, financial clearing services

Classification data

settlement and asset services, wealth management services, collateral management, derivatives services, and data analytics services.

Disclaimer

The classification data is provided for information and searching purposes only. CIPO does not warrant the accuracy of the classes assigned to the trademark. This data has no legal value of any kind.

(1) Business information services in the nature of issuance, collection, storage, maintenance, management and dissemination of information relating to businesses and legal entities; compilation and systemization of business and legal entity information into computer databases; Business information services in the nature of collection, storage maintenance, management and dissemination of information relating to financial transactions; Clearing reconciling and processing financial transactions via a global computer network; Financial transaction processing services, namely, processing and management of post trade activity, namely clearance and settlement of financial transactions; Financial clearing houses; Financial transaction services, namely, national clearinghouse services for the settlement of trades in securities and other financial products; Financial custody services, namely, maintaining possession and record of securities and other financial instruments for others; Financial information services, namely, providing a website featuring identifiers and financial information for legal entities that engage in financial transactions; Providing financial information via a website to underwriting entities in the field of financial instruments; Financial information processing in the nature of assessing eligibility of securities for underwriting, settlement, and deposit; Tax services, namely, tax withholding services and tax reporting services; Financial services, namely, processing and information services and settlement of commissions payments and invoices in the

Claims

Used in
CANADA
since at least
as early as
November
2011 on

goods (2) and wealth management field, namely, regarding mutual funds, on services (2) alternative investments, and insurance and retirement Priority Filing funds and retirement accounts; Financial information Date: October services to automate, standardize, and centralize mutual 20, 2016, fund, alternative investments, and insurance and Country or retirement funds and retirement accounts; Financial Office: processing services for secure information exchange in UNITED the field of wealth management, namely, regarding mutual STATES OF funds, alternative investments, and insurance and AMERICA, retirement funds and retirement accounts; Financial Application information services, namely, the creation and No. 87/209, dissemination of databases, aggregates, reports, 794 in benchmarks, data analytics in the fields of securities trade association processing and trade management; Electronic with the same transmission for others of securities trading instruction kind of goods data via computer networks, the internet and direct lease- and in line connections; Arranging and conducting live and on- association line seminars and conferences in the fields of institutional with the same trade processing, post-trade financial transaction kind of processing, financial clearing services settlement and services asset services, wealth management services, collateral Used in management, derivatives services, and data analytics UNITED services; Development and dissemination of on-line STATES OF educational materials in the fields of institutional trade AMERICA on processing, post-trade financial transaction processing, goods (1) and financial clearing services settlement and asset services, on services (1) wealth management services, collateral management, Registered in derivatives services, and data analytics services; or for Providing online and non-downloadable electronic UNITED publications, namely, articles, whitepapers, reports, STATES OF presentations, and educational materials in the fields of AMERICA on institutional trade processing, post-trade financial October 17, transaction processing, financial clearing services 2017, under settlement and asset services, wealth management No. 5309457 services, collateral management, derivatives services, and on goods (1) data analytics services; Providing online, non- and on downloadable software used to facilitate the processing services (1) and management of post trade activity, namely, clearance and settlement of financial transactions; Providing online, non-downloadable software and data feeds in the field of finance used to facilitate access to and use of data, **Recordals** reports and analytics related to clearance and settlement (known also of financial transactions; Developing customized web as Footnotes) pages and other data feed formats featuring user-defined information regarding clearance and settlement of financial transactions; Providing online, non-downloadable software used to facilitate the management of securities.

Owner (2) Business information services in the nature of
Address issuance, collection, storage, maintenance, management
Change / and dissemination of information relating to businesses
Changement and legal entities; compilation and systemization of
d'adresse du business and legal entity information into computer
propriétaire databases; Business information services in the nature of
DATE collection, storage maintenance, management and
REGISTERED dissemination of information relating to financial
/ DATE DE transactions; Clearing reconciling and processing financial
L'ENREGIST transactions via a global computer network; Financial
REMENT:
2023-05-29

transaction processing services, namely, processing and management of post trade activity, namely clearance and settlement of financial transactions; Financial clearing houses; Financial transaction services, namely, national clearinghouse services for the settlement of trades in securities and other financial products; Financial custody services, namely, maintaining possession and record of securities and other financial instruments for others; Financial information services, namely, providing a website featuring information for use in connection with underwriting, corporate actions processing, securities processing, tax services, and issuer services; Financial information services, namely, providing a website featuring identifiers and financial information for legal entities that engage in financial transactions; Providing financial information via a website to underwriting entities in the field of financial instruments; Financial information processing in the nature of assessing eligibility of securities for underwriting, settlement, and deposit; Tax services, namely, tax relief services, tax withholding services and tax reporting services; Trade repository services, namely, the collection, storage, maintenance, management, recordkeeping and reporting of information relating to derivatives transactions; Financial services, namely, processing and information services and settlement of commissions payments and invoices in the wealth management field, namely, regarding mutual funds, alternative investments, and insurance and retirement funds and retirement accounts; Financial information services to automate, standardize, and centralize mutual fund, alternative investments, and insurance and retirement funds and retirement accounts; Financial processing services for secure information exchange in the field of wealth management, namely, regarding mutual funds, alternative investments, and insurance and retirement funds and retirement accounts; Financial information services, namely, the creation and dissemination of databases, aggregates, reports, benchmarks, data analytics in the fields of securities trade processing and trade management; Electronic transmission for others of securities trading instruction data via computer networks, the internet and direct lease-line connections; Arranging and conducting live and on-line seminars and conferences in the fields of institutional trade processing, post-trade financial transaction processing, financial clearing services settlement and asset services, wealth management services, collateral management, derivatives services, and data analytics services; Development and dissemination of on-line educational materials in the fields of institutional trade processing, post-trade financial transaction processing, financial clearing services settlement and asset services, wealth management services, collateral management, derivatives services, and data analytics services; Providing online and non-downloadable electronic publications, namely, articles, whitepapers, reports, press releases, presentations, and educational materials in the fields of institutional trade processing, post-trade financial transaction processing, financial clearing services

settlement and asset services, wealth management services, collateral management, derivatives services, and data analytics services; Providing online, non-downloadable software used to facilitate the processing and management of post trade activity, namely, clearance and settlement of financial transactions; Providing online, non-downloadable software and data feeds in the field of finance used to facilitate access to and use of data, reports and analytics related to clearance and settlement of financial transactions; Developing customized web pages and other data feed formats featuring user-defined information regarding clearance and settlement of financial transactions; Providing online, non-downloadable software used to facilitate the management of securities.

9 - Electrical, scientific and teaching apparatus and software

16 - Paper and printed goods

35 - Advertising, marketing, promotional and business

36 - Insurance and financial

38 - Telecommunications

41 - Education and entertainment

42 - Computer and scientific

Action History

Action	Action date	Due date	Comments
Filed	2017-02-15		
Created	2017-02-16		
Formalized	2017-02-20		
Search Recorded	2018-01-12		
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Approval Notice Sent	2018-10-12	2018-11-09	
Note to file	2018-11-27		Amended as per October 25, 2018
Approved	2018-12-10		
Advertised	2018-12-26		Vol.65 Issue 3348
Allowed	2019-04-12		
Allowance Notice Sent	2019-04-12	2019-10-12	
Registered	2019-04-18	2034-04-18	
Agent Changed	2020-04-17		From: 16863 To: 6208
Agent Changed	2021-11-05		From: 6208 To: 11789 / Voir Preuve au dossier /See evidence on File No. 1823004
Amendment to Registration	2023-05-29		Address/address