

Application number

2044442

Registration number

TMA1418867

Type(s)

Standard Characters

Category

Trademark

CIPO Status

REGISTERED

TM5 status

LIVE/REGISTRATION/Issued
and Active



The trademark application has
been registered with the Office.

Filed

2020-08-06

Registered

2026-06-12

Registration Expiry Date

2036-06-12

Registered Owner

The Depository Trust &
Clearing Corporation
570 Washington Blvd.
Jersey City, NJ 07310
UNITED STATES OF
AMERICA

Agent

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Documents

Index headings

ADVANCING FINANCIAL MARKETS. TOGETHER.

Goods (Nice class & Statement)

- 9 (1) Computer software used for financial transactions in the fields of securities trade processing and trade management; Downloadable electronic publications in the nature of articles, whitepapers, reports, presentations, and educational materials in the field of financial services

Services (Nice class & Statement)

- 35 (1) Business information services in the nature of issuance, collection, storage, maintenance, management and dissemination of information relating to businesses and legal entities; Business information services in the nature of collection, storage maintenance, management and dissemination of information relating to financial transactions; Compilation and systemization of business and legal entity information and data into computer databases; Issuance, collection, storage, maintenance, management and dissemination of information relating to businesses and legal entities
- 36 (2) Clearing, reconciling, and processing financial transactions via a global computer network; Financial transaction processing services, namely, processing and management of post trade activity, namely, clearance and settlement of financial transactions; Financial clearing houses; Financial transaction services, namely, national clearinghouse services for the settlement of trades in securities and other financial products; Financial custody services, namely, maintaining possession and record of securities and other financial instruments for others for financial purposes; Financial information services, namely, providing commercial identifiers and entity information for legal entities that engage in financial transactions; Providing financial information services to underwriting entities in the field of financial instruments; Financial information processing in the nature of assessing eligibility of securities for underwriting, settlement, and deposit; Tax services, namely, tax withholding services and tax reporting services; Financial processing, financial information, and financial services, namely, settlement of commissions payments and invoices in the wealth management field regarding mutual funds, alternative investment products, and insurance and retirement products; Financial information processing services for secure information exchange in the field of wealth management regarding mutual funds, alternative investment products, and insurance and retirement products; Financial information services, namely, the creation and dissemination of databases, aggregates, reports, benchmarks, data analytics in the fields of

	securities trade processing and trade management; Providing financial information services, namely, information for use in connection with underwriting, corporate actions processing, securities processing, tax services, and issuer services via a website; Financial information services to automate, standardize, and centralize mutual fund, alternative investments, and insurance and retirement funds and retirement accounts; Financial information services, namely, providing information regarding financial trade management; Financial transaction processing services, namely, posttrade securities processing and pre-settlement services featuring provision of information to market participants about the details of their trades in the field of securities financial assets; Financial transaction processing services, namely, automated securities trade matching, execution, and settlement services; Financial transaction services, namely, securities trading and settlement staging services; Financial transaction processing services, namely, processing and management of post trade activity, namely clearance and settlement of financial transactions; Providing financial transaction processing services for supporting centralized matching of post-trade financial transactions; Financial transaction processing services, namely, automatic acceleration of electronic trade affirmation processing; Financial information services, namely, communicating post-trade settlement details between investment managers and broker/dealers prior to confirmation to avoid settlement delay, eliminate clerical errors, and reduce risk of trade failure; Financial transaction processing of securities trading featuring processing of standing settlement and account instructions; Trade repository services, namely, the collection, storage, maintenance, management, recordkeeping and reporting of information relating to derivatives transactions for regulatory compliance;
38	(3) Electronic transmission for others of securities trading instruction data via computer networks, the internet and direct lease-line connections; Electronic exchange of messages, featuring automated notices of trade execution and allocation
41	(4) Arranging and conducting live and on-line seminars and educational conferences in the field of finance; Educational services, namely, development and dissemination of on-line educational materials for others in the field of financial services; Providing online, non-downloadable electronic publications in the nature of articles, whitepapers, reports, presentations, and educational materials in the field of financial services
42	(5) Providing online, non-downloadable software to facilitate the processing and management of post trade activity; Providing online, non-downloadable software for automated securities trade matching, execution, and settlement services; Providing online, non-downloadable software for use in securities trade allocation and confirmation; Providing an online, non-downloadable platform for securities trade matching and processing; Providing online, non-downloadable software and data

feeds in the field of finance to facilitate access to and use of data, reports and analytics related to financial instruments and transactions; Developing customized web pages and data feeds featuring user-defined information in the field of financial instruments and transactions; Providing online, non-downloadable software to facilitate the management of collateral; Providing online, non-downloadable software that automates and standardizes invoices and fee data to mutual funds, facilitates fee payments between mutual funds and their intermediaries, and automates settlement of mutual fund fees; Providing online, non-downloadable software that enables insurance distributors to electronically exchange data relating to post-issue processing of insurance and retirement information

Claims

Priority Filing Date: February 13, 2020, Country or Office: UNITED STATES OF AMERICA, Application No. 88/795,690 in association with the same kind of goods and in association with the same kind of services

Recordals (known also as Footnotes)

Owner Address Change / Changement d'adresse du propriétaire
DATE REGISTERED / DATE DE L'ENREGISTREMENT: 2023-05-29

Action History

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Filed	2020-08-06		
Created	2020-08-06		
Formalized	2020-08-06		
Agent Changed	2021-11-05		From: 6208 To: 11789 / Voir Preuve au dossier /See evidence on File No. 1823004
Pre-Assessment Letter Sent	2022-06-17		Goods or Services Not Acceptable
Amendment to Application	2023-05-29		Address/address
Agent Name Changed	2024-05-09		
Agent Name Changed	2024-05-10		
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Examiner's First Report	2024-08-22	2025-02-22	
Correspondence Created	2025-05-09	2025-11-09	
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Registered	2026-06-12	2036-06-12	
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