FINANCIAL MARKETS. FORWARD. — 2277523

Application number
2277523

Type(s)
Standard Characters

Category
Trademark

CIPO Status
SEARCHED

TM5 status
LIVE/APPLICATION/ Under Examination

The trademark application has been accepted by the Office (has met the minimum filing requirements) and that this application has been assigned to an examiner.

Filed
2023-08-25

Applicant
The Depository Trust & Clearing Corporation
570 Washington Blvd.
Jersey City, NJ 07310
UNITED STATES OF AMERICA

Agent
WENDY D. RIEL
FOGLER, RUBINOFF LLP
Scotia Plaza, 40 King Street West, Suite 2400, P.O. Box 215
Toronto
ONTARIO M5H3Y2

Documents
View documents

Index headings

FINANCIAL MARKETS. FORWARD.

Goods (Nice class & Statement)

9	(1) Downloadable computer software in the fields of securities trade processing and trade management for use in managing financial transactions; Downloadable electronic publications in the nature of articles, whitepapers, and reports in the field of financial services; downloadable podcasts in the field of financial services

Services (Nice class & Statement)

35	(1) Providing business information to businesses and legal entities; Providing business information to businesses and legal entities in the field of financial instruments and transactions; compilation and systemization of business and legal entity information and data into computer databases; trade repository services for business purposes, namely, the collection, financial record-keeping, database management, and preparation of business reports, all of the foregoing of information relating to derivatives transactions for regulatory compliance; Financial record-keeping relating to derivatives transactions for regulatory compliance purposes; business legal entity data collection, and updating and maintenance of business legal entity data in computer databases, for business purposes; business legal entity data issuance, namely, issuance of legal entity identifiers for business purposes; Financial custody services, namely, financial records management concerning securities and other financial instruments for others for financial purposes
36	(2) Clearing, reconciling, and processing financial transactions via a global computer network; Financial transaction processing services, namely, processing and management of post trade activity, namely, clearance and settlement of financial transactions; Financial clearing houses; Financial transaction services, namely, national clearinghouse services for the settlement of trades in securities and other financial products; Financial custody services, namely, maintaining possession of securities and other financial instruments for others for financial purposes; Financial information services, namely, providing commercial identifiers and entity information for legal entities that engage in financial transactions; Providing financial information services to underwriting entities in the field of financial instruments; Financial information processing for underwriting, settlement, and deposit of eligible securities; Tax withholding agent services in the nature of holding funds for others in trust in anticipation of tax liability; Financial processing, financial information, and financial services, namely, payment services in the nature of settlement of commissions

	payments and invoices in the wealth management field regarding mutual funds, alternative investment products, and insurance and retirement products; Financial information processing services for secure information exchange in the field of wealth management regarding mutual funds, alternative investment products, and insurance and retirement products; financial information services for use in connection with securities underwriting, corporate actions processing, securities processing, tax services, and issuer services of securities; Financial information services to automate, standardize, and centralize mutual fund, alternate investment products, and insurance and retirement products and processes; Financial information services, namely, providing information regarding financial trade management; Financial transaction processing services, namely, post-trade securities processing and pre-settlement services featuring provision of information to market participants about the details of their trades in the field of securities financial assets; Financial transaction processing services, namely, automated securities trade matching, execution, and settlement services; Financial transaction services, namely, securities trading and settlement staging services; Financial transaction processing services, namely, assisting in the delivery of post-trade allocations; Providing financial transaction processing services for supporting centralized matching of post-trade financial transactions
38	(3) Electronic messaging services; Electronic transmission of securities trading information; Electronic messaging services, featuring automated notices of trade execution and allocation; Electronic transmission for others of securities trading instruction data via computer networks, the internet and direct lease-line connections
41	(4) Providing online, non-downloadable electronic publications in the nature of articles, whitepapers, and reports, in the field of financial services; Entertainment services, namely, providing podcasts in the field of financial services
42	(5) Providing online, non-downloadable software to facilitate the processing and management of post trade activity; Providing online, non-downloadable software for automated securities trade matching, execution, and settlement services; Providing online, non-downloadable software for use in securities trade allocation and confirmation; Providing an online, non-downloadable platform for securities trade matching and processing; Providing online, non-downloadable software in the field of finance to facilitate access to and use of data, reports and analytics related to financial instruments and transactions; Developing customized web pages featuring user-defined information in the field of financial instruments and transactions; Providing online, non-downloadable software to facilitate the management of securities as collateral; Providing online, non-downloadable software that automates and standardizes invoices and fee data to mutual funds, facilitates fee payments between mutual funds and their intermediaries, and automates settlement of mutual fund fees; Providing online, non-downloadable software that enables insurance distributors to

electronically exchange data relating to post-issue processing of insurance and retirement information

Action History

Action	Action date	Due date	Comments
Filed	2023-08-25		
Created	2023-08-25		
Formalized	2023-08-25		
Pre-Assessment Letter Sent	2023-09-07		Goods or Services Not Acceptable
Agent Name Changed	2024-05-09		
Agent Name Changed	2024-05-10		
Search Recorded	2025-06-26		
Examiner's First Report	2025-06-26	2025-12-26	
Correspondence Created	2026-04-08	2026-10-08	
Correspondence Created	2026-08-05	2027-02-05	